



GWASG PRIFYSGOL CYMRU UNIVERSITY OF WALES PRESS

Open Access - Books

Current Important OA Policies:

If your research is funded by **UKRI**, please refer to UKRI's OA pages for details of their policy, guidance on compliance, and how to apply for funding ([link](#)).

For the Research Excellence Framework (REF) 2029, the four UK higher education funding bodies are opening a consultation concerning the Research Excellence Framework (REF) 2029 Open Access Policy.

The purpose of the REF 2029 Open Access Policy is to outline open access requirements for the exercise. The proposed policy aims to embed progress in the sector for open access submission for journal publications. It also introduces an open access requirement for longform publications.

This consultation outlines the proposed policy for REF 2029.

<https://www.ref.ac.uk/guidance/ref-2029-open-access-policy-consultation/>

For **Wales Studies** books published with the support of the **HEFCW publication fund**, HEFCW's request is that we 'strongly encourage' OA while the REF 2029 OA policy is developed. The HEFCW fund supports scholarly monographs and edited collections in the field of Wales Studies, where the author has a strong connection with a Welsh HEI. For more information about the fund, please contact Llion Wigley (llion.wigley@press.wales.ac.uk).

FAQS:

OA and BOOKS

What information do authors need to supply to the Commissioning Editor?

If you have a requirement for your book to be OA, please ensure that you include this information in your Proposal Questionnaire when submitting a book proposal. If you don't know at that stage, let your Commissioning Editor know at the earliest opportunity.

If you are unsure if OA is required, please check with your university. If the research underpinning your book was funded, please check with your funder, who may have an OA mandate. In such cases, please send details of the mandate to your Commissioning Editor.

Do OA books differ from the way print and eBooks are treated?

No, all OA books are treated exactly the same as print and eBooks, with peer review being a fundamental requirement. UWP requires a positive, unequivocal recommendation from our peer reviewers before we will publish a book. Even if funding is secured, we won't publish a book if it hasn't passed peer review.

All OA books are fully copy edited, typeset and produced to UWP's high editorial and production standards.

All OA books are marketed and disseminated within UWP's academic networks.

Will you also publish an OA book in print?

We'll decide on a case by case basis, but generally we'll aim to publish it in print and e for sale, in addition to the OA version.

Do authors receive royalties on OA books?

Authors will receive royalties on print and e sales, but not on the OA version.

Why is funding needed to cover the costs of publishing an OA book or chapter?

Typically funding for OA is covered by a BPC (Book Processing Charge) or CPC (Chapter Processing Charge), and non-BPC business models such as OpenUP. Funding is required because there is no income to cover publication costs, as OA is free to the reader at point of access. The costs of publishing an OA book are generally the same as publishing a book for sale. If we also publish the book for sale, income to offset publication costs will be much reduced as there is a 'free' version available.

What types of OA are there?

'Gold' OA: this is an OA publication of the Version of Record (VoR - UWP's edited, typeset version).

'Green' OA: this is where the Author's Accepted Manuscript (AAM - the peer-reviewed version, which is not copy edited or typeset) is deposited in an institutional or subject repository.

What charges apply to Gold OA?

BPC (Book Processing Charge) and CPC (Chapter Processing Charge) for Monographs and Edited Collections:

BPCs: As monographs and edited collections vary in word extent and size, please contact your Commissioning Editor for a specific calculation for your book. As a general guide, a 100,000 word book without colour images would require £10,000 in funding.

Imagery: For 8 pages of colour imagery, add £340.

Index: Authors and Editors usually prepare the index to the book as they are best placed to do so, but if you wish UWP to arrange for the index to be prepared, add £670.

These estimates are based on current pricing, and may vary in the future.

CPCs: Individual chapters in an edited collections can be made open access. If you need your chapter to be OA, please contact your Commissioning Editor. We'll calculate the CPC based on the costs of your chapter in relation to the overall costs of producing the book.

What is our Green OA/self archiving policy?

Other than where there is a funder mandate, such as UKRI's, which require a shorter embargo period, UWP's policy is as follows:

Monographs: UWP permits the deposit of the AAM in an insitutional repository or subject repository. The embargo period is 36 months from publication of the print edition. The published edition (VoR, Version of Record) cannot be deposited. The abstract should make it clear that the AAM is not the

published version, and include a link to UWP's published version.

Edited Collections: authors may deposit their own chapter in an insitutional repository or subject repository. The embargo period is 36 months from publication of the print edition. The published edition (VoR, Version of Record) cannot be deposited. The abstract should make it clear that the AAM is not the published version, and include a link to UWP's published version. The AAM of the entire ms cannot be deposited.

Which Creative Commons license do we recommend?

UWP uses a regime of licenses created by Creative Commons. These licenses tell readers what they can/cannot do with OA content.

We recommend CC BY NC ND ([link](#)).

CC BY NC ND means the following:

- CC: anyone may share or download your work
- BY: you must always be credited as the author
- NC: OA version must not be used or sold commercially
- ND: No one is allowed to change your work

However, if a funder mandate/other requirement specifies a different CC BY licence, please contact your Commissioning Editor for advice.

Can my OA book include third-party material?

We'd advise that imagery used in the book is available under a CC BY license.

If you intend to use copyrighted imagery which isn't available under a CC BY license, any such imagery must be accompanied by a caption which clearly states: the details of the copyright holder, and that the imagery cannot be re-used under the CC BY licence applied to the book. It is the author/editors' responsibility to seek permission to include third party materials if in copyright.

For more information, you are advised to liaise with your Commissioning Editor.

Can my book become OA after publication?

If you require OA after the book is contracted, or post publication, please inform your Commissioning Editor and we will calculate the cost of retroactively making your book OA.